



# MADISON TRUST COMPANY

**NOTE:** Once we process this form to your account, you will receive a text message asking you to verify that the information is correct and we have authorization to process the transaction. Please reply "yes" to the text message or you may call our office at (845) 947-1000 to verbally confirm these instructions.

Funds cannot be sent out until 8 calendar days after account establishment.

**IMPORTANT!** Assets must be titled as follows: "Madison Trust Company Custodian FBO [Accountholder's name] [MTC Account #]"

**Please complete and submit this form via one of the following methods:**

**Mail:** Madison Administration Company, One Paragon Drive, Suite 275, Montvale, NJ 07645 · **Fax:** (845) 947-1212 · **Secure Upload:** www.madisontrust.com/uploads

## A. ACCOUNTHOLDER INFORMATION

Full name

MTC account number

## B. INVESTMENT INSTRUCTIONS

### Asset to be purchased or invested in

Asset name

Asset type (e.g., real estate, promissory note, private placement, precious metals, LLC, etc.)

### Asset contact information

Name of sponsor/managing entity

Address

Contact person (if applicable)

Phone number

E-mail address

**Dollar amount to invest** *To ensure the timely processing of your investment, please make certain that there are sufficient funds to cover any fees due as well as the \$500 minimum balance.*

- Invest exactly \$ \_\_\_\_\_
- Invest all available cash balance (less the required \$500 minimum balance, fees due, and transaction fees)

## C. DOCUMENT REQUIREMENTS

Several documents may be required to complete your investment transaction. Please refer to the appropriate flow chart on our website under the Forms/Fees tab.

## D. HOW/WHERE SHOULD MADISON TRUST SEND THE FUNDS? (Please choose one.)

- Option 1:** Send check via regular delivery (\$50 Investment Fee)
- Option 2:** Send check via overnight delivery (\$50 Investment Fee + \$50 Overnight Fee)  
*(Please note: If shipping to a P.O. Box overnight delivery is not available.)*

Make check payable to (if applicable): \_\_\_\_\_

Name

Address, City, State, ZIP

*Checks become void after 180 days from the date of issuance. If the check is not deposited within 180 days, it will be voided and the funds returned to your Madison Trust account. A \$25 stop-payment fee will apply.*

- Option 3:** Send a Wire (\$50 Investment Fee + \$25 Wire Fee)

Bank name

Routing transit number (ABA)

Beneficiary address **\*Required for all wires**

Bank phone number

Beneficiary account number

Beneficiary account name

For further credit (if applicable)



### E. EXPEDITED SERVICE

Madison Trust processes every form in an efficient and quality manner. However, if you have special time constraints and require expedited processing time, select this service:

Expedited Service: Madison Trust will expedite the funding of your investment (\$50 Expedited Fee applies)

\*\* Please note that any forms received after 1 p.m. EST will be considered received on the following business day (applies to both standard and expedited processing). \*\*

### F. ACKNOWLEDGEMENT, AUTHORIZATION, & SIGNATURE

#### With my signature I acknowledge the following:

- I confirm that I have received, read, and agree to the terms and conditions contained in the Traditional IRA and SEP Custodial Agreement, the Roth IRA Custodial Agreement, or the SIMPLE IRA Custodial Agreement for the type of account I selected in Part C of my Account Application, and that those terms and conditions are fully incorporated into this Investment Authorization as if fully set forth herein. (The Custodial Agreements can be found at <https://www.madisontrust.com/all-forms>.)
- I confirm that Madison Trust has furnished and I have received, read, and understood the following documents: (a) the Madison Trust Privacy Notice, (b) the Disclosure Statement, (c) the Fee Schedule. (These items can be found at <https://www.madisontrust.com/all-forms>.)
- I understand that this is a self-directed retirement account (my "Custodial Account") and I am fully responsible for its investments and transactions. I understand and affirm that Madison Trust does not provide any legal advice, tax advice, or investment advice, nor has it provided me any such advice. I understand and affirm that Madison Trust does not perform any due diligence related to any investments or transactions I wish to make, nor has it performed any such due diligence for my benefit. I understand and affirm that Madison Trust does not endorse any investments. I acknowledge that, in accordance with the terms of the Custodial Agreement, I am obligated to defend, indemnify, and hold harmless Madison Trust, its employees, directors, shareholders, officers, agents, and representatives from and against any and all damages, losses, liabilities, claims, actions, costs, and expenses, including but not limited to attorneys' fees, court costs, and witness fees, that Madison Trust, or any of such persons may be subject to, incur or pay, based on, as a result of, arising out of, or otherwise related in any way to (i) any act of Madison Trust or any other such person with respect to the Custodial Account; or (ii) any claim, suit, action, or liability asserted against Madison Trust or any such person in connection with any act taken by Madison Trust or any such person pursuant to any direction from me or my Authorized Representative or for failing to act in the absence of any direction.
- I understand that (i) Madison Trust reserves the right to not implement certain investment or transaction directions; (ii) the decision of Madison Trust to not implement any direction shall not be construed as a determination by Madison Trust regarding the benefit, prudence, risk, legality, tax, or other consequences of the directed investment or transaction; and (iii) any administrative review conducted by Madison Trust in connection with any directed investment or transaction is strictly for Madison Trust's benefit and shall not constitute, and shall not obligate Madison Trust to provide, any opinion, recommendation, prediction, or advice regarding the directed investment or transaction.
- I understand that I may designate an Authorized Representative and Interested Party pursuant to the Custodial Agreement, and that such a designation is solely my responsibility, and affirm that Madison Trust has not and will not perform any due diligence related to my decision to designate an Authorized Representative and Interested Party. I understand and affirm that any person(s) that I designate as an Authorized Representative or Interested Party is my agent and is not an employee, agent, or affiliate of Madison Trust, nor is anyone I designate as an Authorized Representative or Interested Party controlled, approved, recommended, or endorsed by Madison Trust. I understand and affirm that Madison Trust may rely on any written or oral directions provided by my Authorized Representative or Interested Party as if such directions were given by me, and that Madison Trust is entitled to all of the same protections and indemnities provided under the Custodial Agreement when relying upon and executing the directives of my Authorized Representative or Interested Party as if such directives were given by me.
- Certain investments being held by Madison Trust in an IRA are: (a) not insured by the FDIC or any other federal or state deposit guaranteed fund; (b) not guaranteed by Madison Trust, its subsidiaries, parent, and/or agents; and (c) are subject to investment risk, including the possible loss of the principal invested.
- I confirm that assets to be transferred into the Custodial Account do not include any illegal or impermissible investments under South Dakota or Federal law, including, but not limited to, holdings of marijuana or other illegal substances, illegal gambling, or illegal artifacts. I further confirm that the Custodial Account will not hold or engage in transactions involving illegal holdings while Madison Trust Company serves as custodian, and should the Custodial Account ever come to hold an illegal or impermissible investment under South Dakota or Federal law, I will notify Madison Trust Company immediately.
- I am solely responsible for the investment instructions I am making. I agree to indemnify, hold harmless, and release Madison Trust and Madison Administration Company from any and all liabilities that either or both may incur directly or indirectly from my investment. I further acknowledge that I am solely responsible for the success or failure of this investment. I hereby authorize the purchase of the asset listed above for my Madison Trust Custodial Account.

Account holder signature

Date (mm/dd/yy)